

06/15/01

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ET 372946627 US

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 17-I-0072/785155

First Inventor Tim Coffield et al.

Title Chair Back Construction

Express Mail Label No. ET372946627US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 17]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 29]
5. Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____/_____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

28104

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name Russell L. McIlwain

Jones, Day, Reavis & Pogue

Address 77 West Wacker

City Chicago

State

Illinois

Zip Code

60601-1692

Country

USA

Telephone

312/782-3939

Fax

312/782-8585

Name (Print/Type)

Russell L. McIlwain

Registration No. (Attorney/Agent)

28,641

Signature

Date

June 15, 2001

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FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Tim Coffield et al.
Examiner Name	
Group Art Unit	
Attorney Docket No.	17-I-0072/785155

TOTAL AMOUNT OF PAYMENT	(\$) 710.00
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METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	10-1202
Deposit Account Name	Jones, Day, Reavis & Poque

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ **Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Cost of Sales	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.	Cost of sales is recognized when the revenue is recognized, and it is measured at the cost incurred to produce the goods or services.
3. Impairment of Financial Assets	Impairment of financial assets is recognized when there is a significant increase in the credit risk of the asset, and the impairment is measured at the difference between the carrying amount and the present value of the expected cash flows.	Impairment of financial assets is recognized when there is a significant increase in the credit risk of the asset, and the impairment is measured at the difference between the carrying amount and the present value of the expected cash flows.
4. Impairment of Non-Financial Assets	Impairment of non-financial assets is recognized when the carrying amount of the asset exceeds its recoverable amount, and the impairment is measured at the difference between the carrying amount and the recoverable amount.	Impairment of non-financial assets is recognized when the carrying amount of the asset exceeds its recoverable amount, and the impairment is measured at the difference between the carrying amount and the recoverable amount.
5. Provisions	Provisions are recognized when there is a present obligation as a result of a past event, and the amount of the obligation can be reliably estimated.	Provisions are recognized when there is a present obligation as a result of a past event, and the amount of the obligation can be reliably estimated.
6. Income Tax	Income tax is recognized when the taxable income is determined, and it is measured at the amount of tax payable or receivable.	Income tax is recognized when the taxable income is determined, and it is measured at the amount of tax payable or receivable.
7. Financial Instruments	Financial instruments are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability.	Financial instruments are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability.
8. Share-based Payments	Share-based payments are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability.	Share-based payments are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability.
9. Leases	Leases are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability.	Leases are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability.
10. Other	Other items are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability.	Other items are recognized when the entity enters into a contract that gives rise to a financial asset or financial liability.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)	(\$)	710.00
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2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	-20** =	X	=
Independent Claims	-3** =	X	=
Multiple Dependent			=

	Large Entity	Small Entity
1. Revenue Recognition	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.	Revenue is recognized when the performance obligation is satisfied, which is when control of the goods or services is transferred to the customer.
2. Leases	Leases are classified as either finance leases or operating leases. Finance leases are accounted for as if the lessee has purchased the asset, while operating leases are accounted for as if the lessee is renting the asset.	Leases are classified as either finance leases or operating leases. Finance leases are accounted for as if the lessee has purchased the asset, while operating leases are accounted for as if the lessee is renting the asset.
3. Intangible Assets	Intangible assets are recognized when they are identifiable and have a measurable value. They are then amortized over their useful life.	Intangible assets are recognized when they are identifiable and have a measurable value. They are then amortized over their useful life.
4. Goodwill	Goodwill is recognized when an entity acquires another entity and the purchase price is greater than the fair value of the identifiable intangible assets.	Goodwill is recognized when an entity acquires another entity and the purchase price is greater than the fair value of the identifiable intangible assets.
5. Derivatives	Derivatives are recognized when they are entered into for trading purposes or to hedge a transaction.	Derivatives are recognized when they are entered into for trading purposes or to hedge a transaction.
6. Financial Instruments	Financial instruments are recognized when they are entered into for trading purposes or to hedge a transaction.	Financial instruments are recognized when they are entered into for trading purposes or to hedge a transaction.
7. Provisions	Provisions are recognized when an entity has a present obligation that arises from a past event, and the obligation can be reliably measured.	Provisions are recognized when an entity has a present obligation that arises from a past event, and the obligation can be reliably measured.
8. Share-based Payments	Share-based payments are recognized when an entity grants or exercises an equity-based payment.	Share-based payments are recognized when an entity grants or exercises an equity-based payment.
9. Income Taxes	Income taxes are recognized when an entity has a taxable income or a tax loss.	Income taxes are recognized when an entity has a taxable income or a tax loss.
10. Other	Other accounting standards that apply to large entities.	Other accounting standards that apply to small entities.

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	80	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$)
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***or number previously paid, if greater. For Reissues, see above*

FEE CALCULATION (continued)

3. ADDITIONAL FEES


Fee Code	Large Entity		Small Entity		Fee Description	Fee Paid
	Fee (\$)	Fee Code	Fee (\$)	Fee Code		
105	130	205	65		Surcharge - late filing fee or oath	
127	50	227	25		Surcharge - late provisional filing fee or cover sheet	
139	130	139	130		Non-English specification	
147	2,520	147	2,520		For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*		Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*		Requesting publication of SIR after Examiner action	
115	110	215	55		Extension for reply within first month	
116	390	216	195		Extension for reply within second month	
117	890	217	445		Extension for reply within third month	
118	1,390	218	695		Extension for reply within fourth month	
128	1,890	228	945		Extension for reply within fifth month	
119	310	219	155		Notice of Appeal	
120	310	220	155		Filing a brief in support of an appeal	
121	270	221	135		Request for oral hearing	
138	1,510	138	1,510		Petition to institute a public use proceeding	
140	110	240	55		Petition to revive - unavoidable	
141	1,240	241	620		Petition to revive - unintentional	
142	1,240	242	620		Utility issue fee (or reissue)	
143	440	243	220		Design issue fee	
144	600	244	300		Plant issue fee	
122	130	122	130		Petitions to the Commissioner	
123	50	123	50		Processing fee under 37 CFR 1 17(q)	
126	180	126	180		Submission of Information Disclosure Stmt	
581	40	581	40		Recording each patent assignment per property (times number of properties)	
146	710	246	355		Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355		For each additional invention to be examined (37 CFR § 1 129(b))	
179	710	279	355		Request for Continued Examination (RCE)	
169	900	169	900		Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	(\$)
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SUBMITTED BY

Name (Print/Type)	Russell L. McIlwain	Registration No. (Attorney/Agent)	28,641	Telephone	312/782-3939
Signature				Date	JUNE 15 2001

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